PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PADEMA 10/771.605 Application Number TRANSMITTAL February 3, 2004 Filing Date **FORM** Rodric C. Fan First Named Inventor Unknown (to be used for all correspondence after initial filing) Art Unknown Examiner 2502295-991100 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) \boxtimes Drawing(s) After Allowance Communication Fee Transmittal Form to Group Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Fee Attached Petition Appeal Communication to Group Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address X **Terminal Disclaimer Extension of Time Request** Other Enclosure(s) (please identify below): Information Disclosure Statement (2pg); **Express Abandonment Request** Request for Refund Certificate of First Class Mailing (1 pg); Return postcard. X CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kieun Jenny Sung or Gray Cary Ware & Freidenrich LLP Individual Signature

March 4, 2004 CERTIFICATE OF TRANSMISSION/MAILING

Date

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

			·		
Typed or printed	Oathy Pittman			1	
Signature	aleres tilluan	Date	March L	E	, 2004
				$\overline{}$	

This collection of information is required by 37 CFF 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

INFORMATION DISCLOSURE STATEMENT

Applicants:	Rodric C. Fan et al.			
Application No.	10/771,605			
Filed:	February 3, 2004			
For:	AUTONOMOUS MOBILE ELECTRONIC MAIL SYSTEM			
Group Art Unit:	Unknown			
Examiner:	Unknown			
Attorney Docket No.:	2502295-991100			

Commissioner of Patents and Trademarks P.O. Box 1450, Alexandria, VA 22313-1450

Dear Sir:

make of record the re	feren	the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby ces listed on the accompanying Form PTO-1449 for consideration by the Examine nination of the above-identified patent application.
This Informat	ion Di	sclosure Statement:
(a)		accompanies a new patent application submitted herewith.
(b)	X	is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR
(c)		after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR
(d)		is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR
(d) _.		is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(I)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.
As required u appropriate space]:	nder {	§ 1.97(e), Applicants, through the undersigned, hereby state either that [check the
(f)	Ö	Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
(g)		No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
It is respectfu	lly req	juested that each of the references shown on the attached Form PTO-1449 be cation. Copies of the references are enclosed
made of record in this	appii	cation. Copies of the references are enclosed

EM\7161025.1 2502295-991100

The Commissioner is authorized to to our Deposit Account No07-1896	charge any deficiencies and credit any overpayment of fees
	Respectfully submitted,
Date: March 4 , 2004	GRAY CARY WARE & FREIDENRICH LLP
	By: Reg. No. Kieun Jenny Sung Reg. No. 48,639 Attorneys for Applicant(s) 2000 University Avenue East Palo Alto, CA 94303 650-833-2121
Customer Number or Bar Code Label	26379

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents & Trademarks; P.O. Box 1450, Alexandria, VA 22313-1450

March \mathcal{H} , 2004

Date

Cathy Pittman

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Indth the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449A/PTO 10/771,605 Application Number Filing Date February 3, 2004 INFORMATION DISCLOSURE First Named Inventor Rodric C. Fan et al. STATEMENT BY APPLICANT Art Unit Unknown Unknown **Examiner Name** (Use as many sheets as necessary) 2502295-991100 Sheet 1 Attorney Docket Number

-		-	U. S. PA	TENT C	OCUM	ENTS			
Examiner Cite No.1		Document Number Number-Kind Code 2 (if known)	Publication MM-DD-Y				Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		^{US-} 5,959,577	09-28-1	999	Fan e	al.		-	
		^{US-} 5,990,827	11-23-1	999	Fan e	al.			
_	-	US- 5,999,892	12-07-1	999	Fan				
		^{US-} 6,219,694	04-17-2	001	Lazari	dis et al.			
		^{US-} 6,459,988	10-01-2	2002 Fan et al.					
		^{US-} 6,529,159	03-04-2	003	Fan et al.				
		^{US-} 6,552,682	04-22-2003		Fan				
•		^{US-} 6,594,576	07-15-2	003	Fan et al.				
		US-						- ···-	
		US-							
		US-							
		US-							
		US-							
		US-	<u>.</u>					·	
		US-					•		
_		US-							
		US-			-				
			FOREIGN F	PATENT	r Doci	JMENTS			
Examiner	Cite	Foreign Patent Docum	nent	Publication Date		Name of Patentee or		Pages, Columns, Lines,	т 6
	No. ¹	Country Code ³ Number ⁴ Kind Code ⁵ (if known)		MM-DD-YYYY		Applicant of Cited Document		Where Relevant Passages or Relevant Figures Appear	

Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

• Applicants: Rodric C. Fan et al.

Serial No.: 10/771,605

Art Unit: Unknown

Filed:

February 3, 2004

Examiner: Unknown

TITLE:

AUTONOMOUS MOBILE ELECTRONIC MAIL SYSTEM

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail on the date indicated below and is addressed to: Commissioner for Patents; P.O. Box 1450, Alexandria, VA 22313-1450 on March #, 2004.

wheel

CERTIFICATE OF MAILING

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

No. of Pgs Title of Document

- [1] Transmittal Form;
- [3] Information Disclosure Statement;
- [1] Certificate of First Class Mailing; and
- [1] Return Postcard